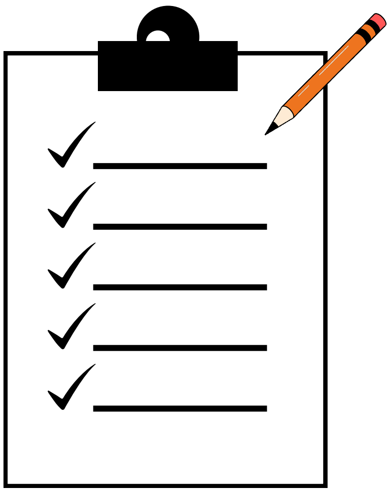
THE LEGAL DEPARTMENT FOR YOUR FINANCIAL FIRM



Financial Service Professionals can come in all shapes and sizes, but they have similar business issues. Over the years, we've worked with many different professions in this industry including accountants, CPAs, financial advisors, wealth managers, and more.

The good news? We know you! We work with financial professionals like you to identify risks and strengthen your infrastructure to protect you, your business, and your clients. Legal issues are important. Everyone has them. We'd be honored to help you with yours.

There are 7 areas where the law affects your business:

- Entity Structure
- Regulatory Compliance
- Ownership Agreements
- Client Agreements
- Worker Agreements
- Vendor Agreements
- Intellectual Property Protection

We can help you build this infrastructure!

For Financial Service Professionals, Regulatory Compliance and Owner Relationships are often major focus areas:

- [ ] Do you know all of the regulations that apply to you?
- [ ] Have you completed your annual governance for your entity?
- [ ] Do you have a written ownership agreement in place for yourself or with your other business owners?

Additional resources, checklists, and articles about various legal topics are available for download on our website. Scan the QR Code to be taken to our Home Page and use the "Resources" tab to find the topic that is most helpful to you.

The Legal Department
For Service Professionals

If one of the above action items needs attention, we can help! Reach out to us for assistance by giving us a call at (904) 860-3111 or sending an email to business@thelegaldepartment.law.

YOU HANDLE THE MONEY, WE HANDLE THE CONTRACTS

Why work with us?

- There's NO hourly billing here at The Legal Department. We offer flat fee, value based pricing that is quoted to you up front in your free introductory meeting so that there are no surprises when it comes to billing.

- We focus on educating our clients, not just telling them what they should do. Our attorneys host webinars, classes, and workshops with small business partners in order to share information, not keep it hidden. Our website and monthly newsletters are full of free legal resources.

- We know you! Our firm's sole focus is on small business law. We have experience with your industry specifically and with firms the same size as yours. We are a small business ourself - so we know what you're going through, and we'd love to help.

What do our clients say about us?

> I thoroughly enjoyed working with Laurie and her team. I knew what was needed from me and Laurie took the time to explain her recommendations and what the next steps were. The Legal Department helped me get my business up and running quickly and I will definitely be going back to them for any future business legal needs.
>
> - Alicea Cosmo, Infinity CPA Solutions

How do I get started?

STEP 1

Schedule a free 30 minute introductory meeting with our Business Specialist. This is not a paid meeting and doesn't include legal advice.

STEP 2

Submit your Business Questionnaire so we can better prepare for our meeting. We'll email the link to you along with our Getting Acquainted Guide.

STEP 3

We have our introductory meeting to get to know you and your business needs better and go over processes and fees for your project(s).

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